## New features in OpenMX Ver. 3.9

## Taisuke Ozaki (ISSP, Univ. of Tokyo) Dec. 3, 2019

# **OpenMX Open** source package for Material eXplorer

- Software package for density functional calculations of molecules and bulks
- Norm-conserving pseudopotentials (PPs)
- Variationally optimized numerical atomic basis functions

## Basic functionalities

- SCF calc. by LDA, GGA, DFT+U
- Total energy and forces on atoms
- Band dispersion and density of states
- Geometry optimization by BFGS, RF, EF
- Charge analysis by Mullken, Voronoi, ESP
- Molecular dynamics with NEV and NVT ensembles
- Charge doping
- Fermi surface
- Analysis of charge, spin, potentials by cube files
- Database of optimized PPs and basis funcitons

## Extensions

- O(N) and low-order scaling diagonalization
- Non-collinear DFT for non-collinear magnetism
- Spin-orbit coupling included self-consistently
- Electronic transport by non-equilibrium Green function
- Electronic polarization by the Berry phase formalism
- Maximally localized Wannier functions
- Effective screening medium method for biased system
- Reaction path search by the NEB method
- Band unfolding method
- STM image by the Tersoff-Hamann method
- etc.

# **History of OpenMX**

2000 Start of development

2003 Public release (GNU-GPL)

2003 Collaboration: AIST, NIMS, SNU KAIST, JAIST, Kanazawa Univ. CAS, UAM NISSAN, Fujitsu Labs. etc.

2019 19 public releases Latest version: 3.9

## Welcome to OpenMX

Open source package for Material eXplorer

Google (

#### Contents

- What's new Patch (Ver. 3.8.5) to OpenMX Ver. 3.8 (June 12, 2018)
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http://www.openmx-square.org



# **Development of OpenMX code**



Year

# **Contributors to OpenMX development**

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# Materials studied by OpenMX

#### First characterization of silicene on ZrB<sub>2</sub> in collaboration with experimental groups

A. Fleurence et al., Phys. Rev. Lett. 108, 245501 (2012).

#### First identification of Jeff=1/2 Mott state of Ir oxides

B.J. Kim et al., Phys. Rev. Lett. 101, 076402 (2008).

#### Theoretical proposal of topological insulators

C.-H. Kim et al., Phys. Rev. Lett. 108, 106401 (2012). H. Weng et al., Phy. Rev. X 4, 011002 (2014).

#### First-principles molecular dynamics simulations for Li ion battery

T. Ohwaki et al., J. Chem. Phys. 136, 134101 (2012).T. Ohwaki et al., J. Chem. Phys. 140, 244105 (2014).

#### Magnetic anisotropy energy of magnets

Z. Torbatian et al., Appl. Phys. Lett. 104, 242403 (2014).I. Kitagawa et al., Phys. Rev. B 81, 214408 (2010).

#### Electronic transport of graphene nanoribbon on surface oxidized Si

H. Jippo et al., Appl. Phys. Express 7, 025101 (2014).M. Ohfuchi et al., Appl. Phys. Express 4, 095101 (2011).

#### Interface structures of carbide precipitate in bcc-Fe

H. Sawada et al., Modelling Simul. Mater. Sci. Eng. 21, 045012 (2013).

#### Universality of medium range ordered structure in amorphous metal oxides

K. Nishio et al., Phys. Rev. Lett. 340, 155502 (2013).

## Materials treated so far

Silicene, graphene Carbon nanotubes Transition metal oxides Topological insulators Intermetallic compounds Molecular magnets Rare earth magnets Lithium ion related materials Structural materials etc.

About 700 published papers

# New features in OpenMX Ver. 3.9

- Database 2019 of PAO and VPS (Ozaki)
- Database 2019 of PAO and VPS for core level excitation (Ozaki)
- Core level calculations for XPS (Ozaki)
- O(N) DC-LNO method (Ozaki)
- Calculations of gaseous charged systems (Ozaki)
- Complex dielectric function & optical conductivity tensors (YTL)
- Spin texture for Rashba effect (Kotaka, Yamaguchi, Ishii)
- Generalized Bloch theorem for spin spiral (Prayitno, Ishii)
- Z2 & Chern topological invariant (Sawahata, Ishii)
- Improvement of polB (Yamaguchi)
- Second variational scheme of SOI (Po-Hao Chang)
- Efficient implementation of j<sub>ii</sub> (Terasawa)
- Optimization of enthalpy (Ozaki)
- New DFT+U functionals (Ryee&Han)
- Mixing methods of DIISV (Ozaki)
- ELPA1/ELPA2 (Duy and Ozaki)
- OpenMX engine by MPI\_spawn (Ozaki)
- Jx (Yoon, Kim, Sim, Han)
- Interface with FermiSurfer (Kawamura)
- Interface with BoltzTrap (Miyata)
- Interface with ASE (Yu)

## Database 2019 of PAO and VPS supported by Ozaki

The database of fully relativistic pseudopotentials (VPS) and optimized pseudo-atomic orbitals (PAO) files has been updated as Database (2019), and distributed with OpenMX Ver. 3.9. Though the most of data are the same as in Ver. 2013, the VPS and PAO files for Fe, Os, Tc, La, Ce, Pr, and Pm are updated. The mean delta gauge of 71 elements in the database Ver. 2019 is 1.774 meV/atom with the standard deviation of 1.702 meV/atom.

E Н Delta gauge: 1.774 meV/atom He Li Be В <u>C</u> Ν <u>O</u> F Ne Si Mg AI Ρ <u>S</u> Na CI Ar Κ Ca Sc Mn Fe Ni Zn Ga Ge As Se Br Ti Cr Со Cu Kr V Zr Мо Tc Sb Rb Sr Υ Nb Ru Rh Pd Cd In Sn Те Xe <u>Ag</u> W TI Pb Bi At Ba Ηf Re Os Ir Pt Hg Po Rn Cs L Та Au Fr Ra Α Tb Tm L Pr Nd Pm Sm Eu Gd Dy Ho Er Yb Lu Ce La Pa А Ac Th U Np Pu Am Cm Bk Cf Es Fm Md No Lr

https://t-ozaki.issp.u-tokyo.ac.jp/vps\_pao2019/

## **Database 2019 for core level excitations supported by Ozaki**

The database of fully relativistic pseudopotentials (VPS) and pseudo-atomic orbitals (PAO) which can be used for calculations of core level excitations has been released as Database (2019) for core excitations. The data for B, C, N, O, Si, S, Ge, Pt elements are available. When you calculate absolute binding energies of core levels in bulk and gaseous systems, which can be measured in X-ray photoemission spectroscopy (XPS), the VPS and PAO files have to be used.

Е																	
Н	These PAO and VPS can be utilized for simulation of core level excitations such											He					
Li	Ве		-		d XA			• • • • • • • • •	unon			<u>B</u>	<u>C</u>	<u>N</u>	<u>0</u>	F	Ne
Na	Mg											AI	<u>Si</u>	Ρ	<u>s</u>	CI	Ar
K	Ca	Sc	Ti	V	Cr	Mn	Fe	Со	Ni	Cu	Zn	Ga	<u>Ge</u>	As	Se	Br	Kr
Rb	Sr	Y	Zr	Nb	Мо	Тс	Ru	Rh	Pd	Ag	Cd	In	Sn	Sb	Те	I	Xe
Cs	Ва	L	Hf	Та	W	Re	Os	lr	<u>Pt</u>	Au	Hg	ΤI	Pb	Bi	Ро	At	Rn
Fr	Ra	А															
	L	La	Се	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Но	Er	Tm	Yb	Lu	
	А	Ac	Th	Ра	U	Np	Pu	Am	Cm	Bk	Cf	Es	Fm	Md	No	Lr	

https://t-ozaki.issp.u-tokyo.ac.jp/vps\_pao\_core2019/

## **Absolute binding energies of core levels XPS core level energies supported by Ozaki**

A general method has been supported to calculate absolute binding energies of core levels in metals and insulators, based on a penalty functional and an exact Coulomb cutoff method in the framework of density functional theory. With the method one can treat multiple splittings due to chemical shift, spin-orbit coupling, and exchange interaction on equal footing.



$$E_{\rm b} = E_{\rm f}^{(0)}(N-1) - E_{\rm i}^{(0)}(N) + \mu_0$$

**Penalty functional** 

$$E_{\rm f} = E_{\rm DFT} + E_{\rm p}$$

**Exact Coulomb cutoff method** 



T. Ozaki and C.-C. Lee, Phys. Rev. Lett. 118, 026401 (2017)

## **Absolute values: Expt. vs. Calcs. for solids**

Material	State	Calc. $(eV)$	Expt. $(eV)$
$Gapped \ system$			
c-BN	N-1 <i>s</i>	398.87	$398.1^{*}$
bulk $NH_3$	N-1 <i>s</i>	398.92	$399.0^{+}$
Diamond	C-1 <i>s</i>	286.50	$285.6^{\dagger}$
Si	$Si-2p_{1/2}$	100.13	$99.8^{*}$
Si	$Si-2p_{3/2}$	99.40	$99.2^{*}$
Semimetal or Metal			
Graphene	C-1 <i>s</i>	284.23	$284.4^{\dagger}$
TiN	N-1 <i>s</i>	396.43	$397.1^{\$}$
TiC	C-1 <i>s</i>	281.43	$281.5^{*}$

Mean absolute error: 0.4 eV, Mean relative error: 0.16 %

T. Ozaki and C.-C. Lee, Phys. Rev. Lett. 118, 026401 (2017)

## O(N) DC method with LNOs supported by Ozaki

An efficient O(N) divide-conquer (DC) method based on localized natural orbitals (LNOs) has been released for largescale DFT calculations of gapped and metallic systems.



T. Ozaki, M. Fukuda, and G. Jiang, Phys. Rev. B 98, 245137 (2018).

## **Algorithms:**

(1) Calculate LNOs for all the atoms.

(2) MPI communicate LNOs

(3) Construct a local H and Swith PAOs and LNOs.

(4) Solve a generalized
 eigenvalue problem for each atom.

(5) Find a common  $\mu$ .

(6) Calculate density matrix and charge density.

Until SCF go to (1).

## **Radial distribution functions in liquids**

The DC-LNO method well reproduces the results by  $O(N^3)$  method.



## Calculations of gaseous charged systems supported by Ozaki

The ionization potential and electron affinity of gaseous systems can be calculated by a delta SCF method with an exact Coulomb cutoff method.

Ionization potential			
System	Expt. $(eV)$	Calc. $(eV)$	Input files
$H_2O$	12.65 [92]	12.69	H2O+0.dat, H2O+1.dat
$\mathrm{C}_{2}\mathrm{H}_{2}$	11.43 [93]	11.47	C2H2+0.dat, C2H2+1.dat
$\mathrm{C_{2}H_{2}}$	10.55 [ <b>93</b> ]	10.57	C2H4+0.dat, C2H4+1.dat
$O_2$	12.04 <b>[93</b> ]	12.85	O2+0.dat, O2+1.dat
CO	14.01 [93]	13.85	CO+0.dat, CO+1.dat

Electron affinity			
System	Expt. $(eV)$	Calc. $(eV)$	Input files
OH	1.81 [93]	1.82	OH-0.dat, OH-1.dat
$O_2$	$0.41 \ [93]$	-0.29	O2-0.dat, O2-1.dat
$\mathrm{Cl}_2$	2.37 [93]	0.96	Cl2-0.dat, Cl2-1.dat
$\mathbf{CN}$	3.88 [93]	3.51	CN-0.dat, CN-1.dat
SiH	1.27 [93]	1.17	SiH-0.dat, SiH-1.dat

## Dielectric function and optical conductivity supported by Y.-T. Lee and Ozaki

The conductivity and dielectric function can be calculated based on the Kubo-Greenwood formula. Starting from the Born approximation, the complex tensor of conductivity and dielectric function, which are frequency dependent, are calculated within a linear response to the ground state. Other physical quantities such as absorption, extinction, transmission, reflection, and refractive index are also calculated, which are all derived from the conductivity.

[×10<sup>+6</sup>]



## Spin texture analysis in Rashba splitting supported by Kotaka, Yamaguchi, and Ishii

The spin texture can be analyzed in the case of a noncollinear calculation with spin-orbit coupling.

Band structure for the Rashba spin splitting at the Au(111) surface.

Spin textures for the Rashba spin splitting around gamma-point at the Au(111) surface.



# Generalized Bloch theorem for spin spiral supported by Prayitno and Ishii

150

100

50

(a)

**Hydrogen** 

chain model

hole = 0 e/site

hole = 0.1 e/site hole = 0.2 e/site

Spin spiral calculations are supported for the non-collinear DFT without spin orbit coupling (SOC), which is based on the generalized Bloch theorem.



## Chern number, Berry curvature, and Z<sub>2</sub> invariant supported by Sawahata and Ishii

In OpenMX Ver. 3.9, a post-processing code 'calB' is supported to calculate the Chern number and Berry curvature of bands using overlap matrix elements between Kohn-Sham orbitals at neighboring k-points by the Fukui-Hatsugai-Suzuki method. The Chern number is a topological invariant being an integer number, which characterizes the topology of bands for any materials. We also release another post-processing code 'Z2FH' to calculate the  $Z_2$  topological invariant using the Berry phase by the Fukui-Hatsugai method.



An example for the calculation of

#### Berry curvature for bands of graphene

H. Sawahata, N. Yamaguchi, H. Kotaka, and F. Ishii, Jpn. J. Appl. Phys. 57, 030309 (2018).

## Second variational scheme for evaluation of magnetic anisotropy energy (MAE) supported by P.-H. Chang and Ozaki

A second variational method is supported to calculate band structures modified with spin-orbit coupling (SOI) and magnetic anisotropy energy (MAE).



# Second variational scheme

- First, the SCF calculation is performed by the collinear DFT
- Second, with the SCF charge the one-shot diagonalization is performed with SOI.
- The total energy is evaluated by the Harris functional.

# Efficient calculations of exchange coupling parameters supported by Terasawa, Ozaki, and Gohda

A post-processing code 'jx' to calculate exchange coupling parameters  $J_{ij}$  has been largely improved so that the individual  $J_{ij}$  in bulks can be calculated efficiently using a contour integration method.







(b) hcp Co, k-grid =  $32 \times 32 \times 20$ 

## **Currie temperature**

	$T_{\rm C}$ [K]					
System	calculated	experimental				
bcc Fe	1321	1040				
hcp Co	1640	1131				
fcc Ni	445	627				

A Terasawa, M Matsumoto, T Ozaki, and Y Gohda, J. Phys. Soc. Jpn. 88, 114706 (2019).

# Variable cell optimization with constraints supported by Ozaki

- Variable cell optimization methods with new constraints are supported as 'OptC6', 'OptC7', 'RFC6', and 'RFC7'.
- 'OptC6' is a method that cell vectors and internal coordinates are simultaneously optimized with a constraint that a cell vector a<sub>3</sub> is fixed, while 'OptC7' introduces a constraint that two cell vectors a<sub>2</sub> and a<sub>3</sub> are fixed. In 'OptC6' and 'OptC7' the optimization is performed with a steepest decent method with a variable prefactor.
- 'RFC6' and 'RFC7' behave just like 'OptC6' and 'OptC7', respectively. However, the optimization is performed with the rational function (RF) method.

So, OpenMX Ver. 3.9 supports ten schemes: OptC1, OptC2, OptC3, OptC4, OptC5, OptC6, OptC7, RFC5, RFC6, RFC7



## **Optimization of enthalpy supported by Ozaki**

It is possible to perform the variable cell optimization under an applied pressure, which is done by minimizing the enthalpy.  $\mathbf{II} = \mathbf{I} + \mathbf{m} \mathbf{V}$ 

H = E + pV

***************************************							
History of cell optimization							
***************************************							
*******							

The following output shows how the enthalpy of Si crystal is optimized under a pressure of 10 GPa.

MD_iter	SD_scaling	Maximum force  (Hartree/Bohr)	Maximum step (Ang)	Utot (Hartree)	Enpy (Hartree)	Volume (Ang^3)
1	1.25981732	0.07663140	0.05108760	-32.84057849	-32.47335956	160.10300700
2	1.25981732	0.06717954	0.04478636	-32.84541333	-32.48138995	158.70978745
3	1.25981732	0.05879663	0.03919775	-32.84853574	-32.48736913	157.46427382
4	1.25981732	0.05131728	0.03421152	-32.85047522	-32.49182806	156.36581813
5	3.14954331	0.04468030	0.07446716	-32.85159836	-32.49515060	155.40690918
6	3.14954331	0.02956430	0.04927383	-32.85232293	-32.50062291	153.33695214
7	3.14954331	0.01960389	0.03267316	-32.85158714	-32.50293764	152.00696345
8	3.14954331	0.01318467	0.02197446	-32.85069024	-32.50392104	151.18717226
9	7.87385828	0.00909382	0.03789092	-32.84998761	-32.50434789	150.69473500
10	7.87385828	0.00253118	0.00537839	-32.84867882	-32.50470324	149.96919000
11	7.87385828	0.00198428	0.03321825	-32.84877730	-32.50477155	149.98234416
12	7.87385828	0.00271856	0.01866538	-32.84922787	-32.50499775	150.08016284
13	7.87385828	0.00086782	0.00943670	-32.84942256	-32.50507226	150.13256385
14	7.87385828	0.00077020	0.00982293	-32.84949585	-32.50509162	150.15607426
15	7.87385828	0.00020223	0.00270074	-32.84950610	-32.50511244	150.15146767
16	7.87385828	0.00005544	0.0000000	-32.84950546	-32.50511390	150.15055140

## **Generalized DFT+***U* functionals supported by Ryee and Han

In addition to the simplified rotationally invariant form supported by OpenMX Ver. 3.8, general forms of the DFT+U methods are supported with respect to the occupation number operator, the functional form, and the choice of the double counting term for both the collinear and noncollinear calculations.



## **RMM-DIIS mixing for Kohn-Sham potential** supported by Ozaki

A residual minimization method in the direct inversion iterative subspace (RMM-DIISV) for the Kohn-Sham potentials is supported for the SCF calculations.



For Kohn-Sham potential, the RMM-DIIS mixing method is applied, which is called 'RMM-DIISV'.

It seems that the RMM-DIISV is comparable to RMM-DIISK, and for some cases it outperforms RMM-DIISK.



## Interface with BoltzTrap supported by Miyata

OpenMX is interfaced with BoltzTraP which calculates electron transport coefficients based on the Boltzmann theory from the wave number dependence of the energy eigenvalues in the Kohn-Sham equation. The interface with BoltzTraP enables us to calculate physical properties such as the Seebeck coefficient, electrical conductivity, electronic thermal conductivity, and the Hall coefficient. The functionality is compatible with not only the collinear calculations, but also the non-collinear calculations.



Figure 78: (a) Seebeck coefficient S, and (b) the electric conductivity  $\sigma \tau_{\rm el}^{-1}$  of non-doped Si in the diamond structure as a function of the chemical potential at 300 K obtained by OpenMX and BoltzTraP. The Fourier interpolation factor was set to 25. The input file 'Si\_BoltzTraP.dat' used for the calculation is available in the directory 'work'.

## Calling OpenMX as library or computational engine supported by Ozaki

OpenMX can be utilized as library or computational engine from your program using MPI\_Comm\_spawn. You may mpirun your program and may want to call OpenMX with different input files from the program in different MPI groups at the same time. In such cases the functionality may be useful.

The following is how to call OpenMX from your code.

```
/* MPI_Comm_spawn */
char command[] = "./openmx";
char **argvin;
char *inputfiles[] = { "Methane.dat", "C60.dat", "Fe2.dat" };
argvin=(char **)malloc(2 * sizeof(char *));
argvin[0] = inputfiles[myworld1];
argvin[1] = NULL;
MPI_Comm_spawn( command, argvin, numprocs1, MPI_INF0_NULL, 0,
```

MPI\_CommWD[myworld1], &intercomm[myworld1], MPI\_ERRCODES\_IGNORE );

### • Trial use

Some of you might want the quick trial use of OpenMX. The following is one of such tools.

- MateriApps LIVE !:

http://ma.cms-initiative.jp/en/whats-materiapps/try apps/about-materiapps-live?set language=e MateriApps LIVE! offers an environment where one can try out computational materials science simulation freely, using a notebook PC, etc. All environment required to begin tutorials, such as MateriApps applications, OS (Debian GNU/Linux), editors, and visualization tools, is provided in a USB memory stick. Since OpenMX is available as one of simulation tools in MateriApps LIVE!, you might be able to consider MateriApps LIVE! as an environment for the trial use of OpenMX.

### Binary distribution

The binary distribution of OpenMX on LINUX environments is available as follows:

- Debian: https://packages.debian.org/search?keywords=openmx
- Ubuntu: https://launchpad.net/ubuntu/+source/openmx

## • Graphical User Interface (GUI) and/or job scheduling environment

A couple of GUIs and job scheduling environments for OpenMX calculations are available as follows:

- ASE: https://wiki.fysik.dtu.dk/ase/ase/calculators/openmx.html
- sisl: http://zerothi.github.io/sisl/docs/latest/api-generated/sisl.io.html
- OMXTool: https://github.com/Ncmexp2717/OMXTool
- Winmostar: https://winmostar.com/jp/manual jp/V9/html/winmos/solid/winmos openmx.html

#### • Visualization in general

OpenMX generates cube, md, xyz, xsf, axsf, and cif files. These files can be visualized by many software. The following is some of them.

- OpenMX Viewer: http://www.openmx-square.org/viewer/
- XCrySDen: http://www.xcrysden.org/
- VESTA: http://jp-minerals.org/vesta/en/
- Molekel: http://www.cscs.ch/molekel/

## • Visualization of Fermi surfaces

The Fermi surfaces can be visualized by FermiSurfer.

- FermiSurfer: http://fermisurfer.osdn.jp/

### • Analysis of molecular dynamics simulations

You might want to analyze the trajectory generated by molecular dynamics simulations in OpenMX. The following is one of such tools.

- ASAP: http://www.mch.rwth-aachen.de/

### • A tool to read and operate OpenMX Kohn-Sham Hamiltonian

A tool by Dr. Artem Pulkin is available to read and operate OpenMX Kohn-Sham Hamiltonian at the following website:

- openmx-hks: https://github.com/pulkin/openmx-hks

## • Tight Binding Studio

Software package to construct Tight Binding (TB) model in combination with firstprinciples calculations including the OpenMX code.

- Tight Binding Studio: https://tight-binding.com/

#### • Thermoelectric properties

Thermoelectric properties can be calculated by BoltzTraP via an interface with OpenMX or an external tool: QTWARE based on an NEGF method.

- BoltzTraP: https://doi.org/10.1016/j.cpc.2006.03.007
- QTWARE: http://www.rs.tus.ac.jp/takahiro/QTWare.html

### • Jx

An open source software for calculating magnetic interactions based on magnetic force theory, which is interfaced with OpenMX code. Though the original OpenMX code also supports a similar calculation, the Jx code is a post processing code which has been developed by the Prof. M.J. Han group in KAIST, and released independently.

- Jx: https://doi.org/10.1016/j.cpc.2019.106927

#### • Wannier90

Maximally localized Wannier functions can be utilized to efficiently and accurately calculate a wide variety of physical properties. OpenMX provides an interface with an post processing code: Wannier90.

- Wannier90: http://wannier.org/

#### Phonon related properties

To calculate phonon related properties such as phonon dispersion and thermal conductivity, OpenMX can be combined with ALAMODE as explained in the following website:

- ALAMODE: https://alamode.readthedocs.io/en/latest/tutorial.html

#### • DCore: DMFT solver interfaced with DFT codes

DCore based on dynamical mean-field theory (DMFT) has an interfaces with OpenMX as explained in the following website:

- DCore: https://issp-center-dev.github.io/DCore/master/tutorial/srvo3\_openmx/openmx.html#

## The other features

## The other new features in OpenMX Ver. 3.9 are listed below:

- Requirement of ScaLAPACK and BLACS supported by Ozaki
- Calculations of work functions supported by Yamaguchi and Ozaki
- Improvement of polB supported by Yamaguchi
- Change of the data format for the scfout file supported by Yamaguchi and Ozaki
- Choice of the axis to be treated by ESM method supported by Otani
- A set of choices for the PAO basis functions supported by Ozaki
- ELPA1 and ELPA2 supported by Duy and Ozaki
- Memory reduction for the cluster and band calculations supported by Ozaki
- Interface with FermiSurfer supported by M. Kawamura

Please take a look at the following website for the further details: http://www.openmx-square.org/whatsnew/whatsnew.html